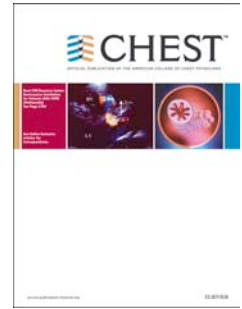


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Chronic Hypersensitivity Pneumonitis with a Usual Interstitial Pneumonia (UIP)-like Pattern: Correlation between Histopathological and Clinical Findings

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3

4 **Chronic Hypersensitivity Pneumonitis with a Usual Interstitial Pneumonia**  
5 **(UIP)-like Pattern: Correlation between Histopathological and Clinical Findings**

6

7 **Running Head: Clinicopathological Findings of Chronic HP**

8

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36

37

38

39 **ABSTRACT**

40 **Background:** Hypersensitivity pneumonitis (HP) is an interstitial lung disease caused by  
41 the inhalation of environmental antigens. The relationship between clinical, radiological,  
42 and histopathological findings of chronic HP remains unclear.

43 **Methods:** Sixteen patients with proven chronic bird-related HP with a UIP-like pattern  
44 were analyzed retrospectively. Histopathological findings were semi-quantitatively  
45 assessed and compared to clinical and radiological findings. We also evaluated the  
46 histopathological findings affecting prognosis.

47 **Results:** The extent of centrilobular fibrosis was negatively correlated with PaO<sub>2</sub> ( $r =$   
48  $-0.55$ ,  $P = 0.03$ ). The extent of bridging fibrosis was positively correlated with  $\dot{V}_{50}/\dot{V}_{25}$   
49 ( $r = 0.60$ ,  $P = 0.02$ ). Patients with a greater extent of fibroblastic foci (FF) had more  
50 radiologic reticulation ( $P = 0.01$ ), honeycombing ( $P = 0.01$ ), and traction bronchiectasis  
51 ( $P = 0.02$ ), and had significantly shorter survival time ( $P = 0.01$ ) than patients with a  
52 lesser extent of FF. Multivariate analysis showed that the extent of FF was a significant  
53 prognostic factor (hazard ratio, 2.36; 95 % confidence interval, 1.02 to 5.48;  $P = 0.04$ ).

54 **Conclusions:** Our findings demonstrated that the extent of FF was significantly  
55 associated with reticulation, honeycombing, and traction bronchiectasis on HRCT.  
56 Moreover, the extent of FF could be a useful predictor of mortality in chronic HP with a  
57 UIP-like pattern.

58

## 59 Introduction

60 Hypersensitivity pneumonitis (HP) is a diffuse interstitial lung disease that  
61 results from exaggerated immune response to the inhalation of various organic or  
62 inorganic particles. HP has been traditionally classified into acute, subacute, and chronic  
63 forms.<sup>1</sup> Patients with chronic HP are categorized into two groups, recurrent or insidious,  
64 according to their clinical courses.<sup>2</sup> An epidemiological survey of chronic HP in Japan  
65 revealed that bird-related HP was the most prevalent form of HP, accounting for 60 % of  
66 222 chronic HP cases.<sup>3</sup> Bird-related HP is caused by the inhalation of avian antigen. The  
67 amount of avian antigen in the environment can help establish the diagnosis as well as  
68 the clinical course.<sup>4,5</sup>

69 The histopathological patterns in chronic HP include organizing pneumonia,  
70 cellular non-specific interstitial pneumonia (NSIP), fibrotic NSIP, or usual interstitial  
71 pneumonia (UIP) applying the 2002 ATS/ERS criteria for the classification of idiopathic  
72 interstitial pneumonias.<sup>6</sup> Patients with a UIP-like pattern, who accounts for  
73 approximately half of chronic HP in Japan, presents with extensive lung fibrosis and has  
74 a poor prognosis.<sup>6</sup> Centrilobular fibrosis, bridging fibrosis, organizing pneumonia,  
75 bronchiolitis, granulomas, and giant cells have recently been identified as characteristic  
76 histopathological findings of chronic HP in addition to a UIP pattern.<sup>7,8</sup> However, the  
77 relationship between histopathological and clinical findings in chronic HP remains

78 incompletely understood. In this study we sought to determine the clinical implications  
79 of the histopathological findings in chronic HP with a UIP-like pattern.

80

## 81 **Materials and Methods**

### 82 *Subjects*

83 This study was conducted in accordance with the amended Declaration of  
84 Helsinki and approved by the Medical Research Ethics Committee of Tokyo Medical and  
85 Dental University (No. 1884). We were unable, however, to obtain the informed written  
86 consent of the study subjects. For adherence to the Ethical Guidelines for Medical and  
87 Health Research Involving Human Subjects, we publicly disclosed the details of the  
88 implementation of this study to ensure that the subjects had the opportunity to withdraw.  
89 Sixteen patients who had chronic HP and a UIP pattern on surgical lung biopsy between  
90 December '94 and October '07 were included. All had positive inhalation provocation  
91 tests with avian antigen. They also satisfied the following diagnostic criteria for chronic  
92 HP: (1) recurrence of HP symptoms triggered by an environmental stimulus or  
93 laboratory-controlled inhalation of antigen; (2) positive results for avian antibodies  
94 and/or a positive lymphocyte proliferation test when exposed to antigen; (3) histologic  
95 evidence of pulmonary fibrosis with or without granulomas; either (4) progressive  
96 deterioration of a restrictive impairment in pulmonary function for 1 year or (5)

97 persistent respiratory symptoms associated with HP for more than 6 months.<sup>9</sup> All cases  
98 have been previously reported<sup>10,11</sup>, however semi-quantitative assessment of  
99 histopathological findings and detailed clinicopathological analysis focused on the cases  
100 with a histologic UIP pattern have been performed for the first time in the current study.

101

#### 102 *Study design*

103 Demographic findings, Krebs von den Lungen 6 (KL-6), surfactant protein D  
104 (SP-D), partial pressure of oxygen in arterial blood (PaO<sub>2</sub>), immunological findings,  
105 bronchoalveolar lavage (BAL) fluid, pulmonary function tests (PFTs), and high  
106 resolution computed tomography (HRCT) findings at diagnosis were extracted from the  
107 medical records. Follow-up data on PFTs, treatment, acute exacerbation, and survival  
108 were also obtained. The correlations between these clinical and histopathological  
109 findings were evaluated.

110

#### 111 *Immunological findings*

112 Antibodies to avian antigen in serum and BAL fluid were measured by an  
113 enzyme-linked immunosorbent assay. The lymphocyte stimulation test to the avian  
114 antigen was performed as previously reported.<sup>12</sup> Briefly, peripheral blood mononuclear  
115 cells were cultured with pigeon sera and the incorporation of <sup>3</sup>H-thymidine was

116 estimated.

117

118 *BAL*

119 BAL was performed using three 50 mL aliquots of a sterile 0.9 % saline  
120 solution. The cellular composition of the BAL fluid was determined using a cytospin  
121 smear with Diff-Quik stain by counting 200 cells. Lymphocyte phenotypes were  
122 analyzed by flow cytometry with monoclonal antibodies for CD4 and CD8.

123

124 *Pulmonary function tests*

125 The PFT data included vital capacity (VC), forced vital capacity (FVC), forced  
126 expiratory volume in 1 second (FEV<sub>1</sub>), FEV<sub>1</sub>/FVC, diffusing capacity for carbon  
127 monoxide (DL<sub>co</sub>), maximum expiratory flow at 25 and 50 % ( $\dot{V}_{25}$  and  $\dot{V}_{50}$ ), and  $\dot{V}_{50}/\dot{V}_{25}$ .  
128 The results for VC, FVC, FEV<sub>1</sub>,  $\dot{V}_{25}$ ,  $\dot{V}_{50}$ , and DL<sub>co</sub> were expressed as percentages of  
129 predicted values according to the age, gender, and height of each individual. The interval  
130 of PFTs differed among the patients, so the degree of FVC decline ( $\Delta$ FVC) was  
131 calculated as an adjusted annual rate of change. Follow-up PFTs were unavailable in 2  
132 patients.

133

134 *Histopathological findings*



135           The UIP-like pattern was defined according to the American Thoracic Society/  
136 European Respiratory Society (ATS/ERS/JRS/ALAT) consensus statement published in  
137 2011<sup>13</sup>: briefly, a patchwork appearance of subpleural or paraseptal fibrosis alternating  
138 with normal alveoli, temporal heterogeneity, architectural distortion with or without  
139 honeycombing on the histological sections, and presence of fibroblastic foci. Although  
140 some cases had additional features such as granulomas, organizing pneumonia, or  
141 lymphocytic alveolitis that might be considered atypical for UIP as seen in IPF, the basic  
142 fibrotic pattern was that of UIP. The histological sections were stained with  
143 haematoxylin and eosin, elastica van Gieson, and Alcian Blue-periodic acid-Schiff. The  
144 semiquantitative evaluation was performed by one pathologist (T.A.) who had no  
145 knowledge of the clinical, physiological, or HRCT findings. Centrilobular fibrosis,  
146 bridging fibrosis, lymphoid follicles, and fibroblastic foci (FF) were counted in each  
147 slide, and the quantity per square centimeter was calculated. The presence and extent of  
148 perilobular fibrosis (PLF), bronchiolitis, organizing pneumonia, honeycombing,  
149 granuloma, giant cells, fibroelastosis, and lymphocytic alveolitis were scored as absent  
150 (0), rare (1), occasional (2), or marked (3) in each slide, and a mean score was taken.  
151 Specimens with strong degeneration precluding assessment of the pathological findings  
152 individually were excluded from our calculations of the quantities per square centimeter  
153 or the mean scores. Centrilobular fibrosis is defined as a peribronchiolar fibrosis with

154 bronchiolar distortion and occlusion by scarring and smooth muscle hyperplasia.<sup>14</sup> PLF  
155 means fibrosis in subpleural or paraseptal region.<sup>14</sup> Bridging fibrosis is defined as linear  
156 fibrotic connection between centrilobular and perilobular areas or between centrilobular  
157 and adjacent centrilobular areas.<sup>14</sup> Representative histopathological images are shown in  
158 Figure 1.

159

#### 160 *HRCT*

161 HRCT images were obtained at end inspiration in the supine position. The scanning  
162 protocol consisted of reconstruction of 1- to 3-mm collimation sections with a high  
163 spatial frequency algorithm at 1- or 2-cm intervals. Images were photographed at window  
164 settings appropriate for viewing the lung parenchyma (window level, -600 to -700  
165 Hounsfield units [HU]; window width, 1200-1500 HU). The three slice images at the  
166 levels of the aortic arch, carina, and inferior pulmonary vein were extracted, and the  
167 respective slices from the right and left lungs were reviewed independently by two  
168 pulmonary specialists (M.I. and T.O.) independently who had no knowledge of the  
169 patients' clinical information. The following findings were examined separately:  
170 reticulation, honeycombing, centrilobular nodules, ground glass opacity, consolidation,  
171 emphysema, traction bronchiectasis, mosaic attenuation, and interlobular septal  
172 thickening (Figure 2). Reticulation, honeycombing, centrilobular nodules, ground glass

173 opacity, consolidation, and emphysema were quantified as proportions of lung  
174 parenchyma between 0 % and 100 %, and censored at 5 %. If the estimations by the two  
175 observers differed by less than  $< 20$  %, the mean value of the two estimations was taken;  
176 otherwise, a third observer (M.K., a chest radiologist) was brought in to resolve the  
177 discrepancy. Traction bronchiectasis, mosaic attenuation, and interlobular septal  
178 thickening were scored as absent (0) or present (1). Here too, discrepancies between the  
179 two observers were resolved by the third observer. The global score was calculated as the  
180 mean of the six zones. Additionally, the predominant distribution of the findings was  
181 determined as follows: upper predominance, lower predominance, or no zonal  
182 predominance.

183

#### 184 *Statistical analysis*

185 Statistical analyses were performed using SPSS version 17.0 (IBM Corporation,  
186 Chicago, IL, USA) and R version 3.2.1 (R Foundation for Statistical Computing, Vienna,  
187 Austria). *P* values of less than 0.05 were considered significant. The Spearman  
188 rank-correlation test was used to analyze the relationship between histopathological and  
189 clinical findings. In some analyses, patients were divided into two groups by the  
190 median-split of their histopathological scores. The Mann-Whitney U test or Fisher's  
191 exact test was used for comparisons between two groups. Univariate and multivariate

192 Cox proportional hazard models were used to examine the relationship between  
193 histopathological findings and survival. Multivariate analysis was performed using  
194 variables with a univariate  $P$ -value  $< 0.2$ . The proportional hazards assumption was  
195 tested with log-minus log plots. Cumulative survival curves and acute exacerbation-free  
196 interval curves were constructed using the Kaplan–Meier method and comparisons  
197 between two groups were based on the log-rank test.

198

## 199 **Results**

### 200 *Patient characteristics*

201 The patient characteristics are shown in Table 1. Fourteen (88 %) of the  
202 patients were male with a mean age of 58.3 years. Twelve (75 %) of the patients were  
203 current or ex-smokers with a smoking history  $44.3 \pm 46.5$  pack-years. None of the  
204 patients had been previously diagnosed with asthma. All of the patients presented with  
205 insidious course. Surgical lung biopsies were obtained from both the upper and lower  
206 lobes in 13 patients (81 %), from the upper and middle lobes in 2 patients (13 %), and  
207 from only the lower lobes in 1 patient (6 %). The mean interval between the onset of  
208 symptoms and the time of surgical biopsy was  $34.4 \pm 25.9$  months. The follow-up  
209 duration ranged from 1 month to 8 years. All of the patients attempted to avoid further  
210 exposure to the antigen. Fourteen patients (88 %) were treated with corticosteroids.

211 Immunosuppressant medications were administered in conjunction with corticosteroids  
212 in 7 patients (44 %). Seven patients died from an acute exacerbation and one from sepsis  
213 during the follow-up period.

214

### 215 *Clinical findings*

216 The clinical findings are shown in Table 2. The mean values for %VC, %FVC,  
217  $\Delta$ FVC, %DLco, and  $\dot{V}_{50}/\dot{V}_{25}$  were 77.0 %, 66.4 %, -151.5 mL/year, 60.4 %, and 4.0,  
218 respectively. The current and ex-smokers tended to have lower percentages of BAL  
219 lymphocytes ( $P = 0.06$ ) and higher percentages of macrophages ( $P = 0.06$ ), and had  
220 significantly lower  $\dot{V}_{25}$  ( $P = 0.03$ ; difference in location, -0.33; 95%CI, -0.67 to -0.17)  
221 than never smokers.

222

### 223 *Histopathological findings*

224 Each histopathological finding was semi-quantitatively assessed. Centrilobular  
225 fibrosis and bridging fibrosis were found in 14 and 11 patients (88 and 69 %,  
226 respectively). FF, lymphoid follicles, bronchiolitis, organizing pneumonia, granuloma,  
227 giant cells, PLF, honeycombing, fibroelastosis, and lymphocytic alveolitis were found in  
228 100 %, 69 %, 44 %, 75 %, 25 %, 31 %, 81 %, 100 %, 44 %, and 81 % of the patients,  
229 respectively. The median value of FF was 2.2/cm<sup>2</sup>. The extent of centrilobular fibrosis

230 was significantly associated with PLF ( $r = 0.59$ ; 95%CI, 0.13 to 0.84;  $P = 0.02$ ).

231

### 232 *Relationship between histopathological and clinical findings*

233 The Spearman-rank correlation test revealed a moderate correlation between  
234 centrilobular fibrosis and PaO<sub>2</sub> ( $r = -0.55$ ; 95%CI, -0.79 to -0.07;  $P = 0.03$ , Figure 3A)  
235 and a moderate correlation between bridging fibrosis and  $\dot{V}_{50}/\dot{V}_{25}$  ( $r = 0.60$ ; 95%CI, 0.09  
236 to 0.90;  $P = 0.02$ , Figure 3B). Compared to the low FF group ( $< 2.2/\text{cm}^2$ ), the high FF  
237 group ( $\geq 2.2/\text{cm}^2$ ) had lower %DLco ( $P = 0.03$ ; difference in location, -18.9; 95%CI,  
238 -43.3 to -3.3) and higher incidence of acute exacerbation ( $P = 0.04$ ; odds ratio, 21;  
239 95%CI, 1.5 to 293.3) (Table 3). No other histologic finding was significantly correlated  
240 with any clinical parameter.

241

### 242 *Relationship between histopathological and HRCT findings*

243 The two pulmonologists independently assessed each finding on HRCT.  
244 Differences between observers were adjudicated by a third radiologist. The results are  
245 summarized in Table 4. Analyzing the relationship between histopathological and HRCT  
246 findings, we found that the high FF group presented with a greater extent of reticulation  
247 ( $P = 0.01$ ; difference in location, 13.1; 95%CI, 3.8 to 22.1; Figure 4A), honeycombing ( $P$   
248 = 0.01, difference in location, 7.1; 95%CI, 2.9 to 17.9; Figure 4B), and traction

249 bronchiectasis ( $P = 0.02$ , difference in location, 0.3; 95%CI, 0.2 to 0.7; Figure 4C). No

250 other histologic finding was significantly correlated with any of the HRCT finding.

251

252 *Acute exacerbation and survival*

253 We examined the histopathological factors affecting prognosis. We checked

254 that the log-minus log plots were approximately parallel for each variable to confirm the

255 assumptions in the Cox proportional hazards model. A univariate analysis indicated that

256 the extent of FF was a significant predictor of mortality (Table 5). In a multivariate

257 analysis, we adjusted for age, sex, smoking status, and %DLco which was significantly

258 associated with the extent of FF as shown in Table 3. The extent of FF was found to

259 confer an elevated risk for mortality (hazard ratio, 2.36; 95 % CI, 1.02 to 5.48;  $P = 0.04$ ,

260 Table 5). The log-rank test showed that the extent of FF tended to be associated with

261 acute exacerbation-free interval ( $P = 0.08$ , Figure 5A) and was significantly associated

262 with survival time ( $P = 0.01$ , Figure 5B). Mean survival time for the low FF group was

263 92.7 months (95%CI: 84.1 to 101.2). The high FF group had a mean survival time of 34.6

264 months (95%CI: 17.5 to 51.7) and a median of 27.0 months (95%CI: 20.3 to 33.7).

265

## 266 **Discussion**

267 In this study we semiquantified the histopathological findings of chronic HP

268 with a UIP-like pattern and analyzed the relationship between the histopathological  
269 findings and clinical and radiological findings. The extent of centrilobular fibrosis was  
270 negatively correlated with PaO<sub>2</sub> and the extent of bridging fibrosis was positively  
271 correlated with  $\dot{V}_{50}/\dot{V}_{25}$ . However, 95%CI for correlation coefficients were close to zero,  
272 so further observations of a large sample will be required to confirm these results.  
273 Patients with a greater extent of FF had more reticulation, honeycombing, and traction  
274 bronchiectasis on HRCT, and they had a higher risk of mortality.

275         The pathogenesis of HP involves type III and IV allergic reactions in the small  
276 airways and lung parenchyma<sup>1</sup> caused by a variety of antigens that are small enough to  
277 reach the alveoli (< 2.5  $\mu$  m). Takemura et al. proposed that centrilobular fibrosis could  
278 form around the bronchioles as a consequence of the deposition of inhaled antigens.<sup>7</sup>  
279 Other studies have established that centrilobular fibrosis in chronic HP occurs in parallel  
280 to fibrosis in the surrounding parenchyma<sup>15</sup> and that centrilobular and perilobular fibrosis  
281 are often connected with each other via bridging fibrosis.<sup>8</sup> These earlier findings are  
282 consistent with the correlations we identified between the extent of centrilobular fibrosis  
283 and PLF in the present study.

284         Small airway obstruction in HP has been reported previously.<sup>16</sup> We showed that  
285 there was a significant correlation between the extent of bridging fibrosis and  $\dot{V}_{50}/\dot{V}_{25}$ , even  
286 though % $\dot{V}_{25}$  was also significantly associated with smoking status.  $\dot{V}_{50}/\dot{V}_{25}$  may be a



287 notable factor reflecting characteristic pattern of fibrosis in chronic HP, although we should take  
288 account of confounding factors such as cigarette smoking, asthma, or dust inhalation.<sup>17</sup>

289 Acute exacerbation can occur in chronic HP as well as IPF/UIP,<sup>18</sup> and this  
290 imparts a poor prognosis.<sup>19</sup> Our previous study of chronic HP showed that a low DLco,  
291 reduced lymphocyte percentages in BAL fluid and a histologic UIP pattern at the time of  
292 diagnosis were potential risk factors for acute exacerbation. Importantly, FF were present  
293 in the initial lung biopsy specimens of all patients who developed acute exacerbation.<sup>11</sup>  
294 FF are a confirmed prognostic parameter in IPF/UIP.<sup>20</sup> Honeycombing and traction  
295 bronchiectasis on HRCT have been reported to be predictors of mortality in chronic HP.<sup>21</sup>  
296 Recently, the relationship of the extent of FF with the severity of traction bronchiectasis  
297 and the extent of reticulation and honeycombing in fibrotic lung disease including  
298 chronic HP has been shown.<sup>22</sup> Our current investigation also demonstrated the significant  
299 relationship between the extent of FF and the extent of reticulation, honeycombing, and  
300 traction bronchiectasis on HRCT. Moreover, we identified the extent of FF as a potential  
301 risk factor for mortality in chronic HP. While DLco and the extent of FF were  
302 significantly correlated, our multivariate analysis suggested that the latter may be an  
303 independent prognostic factor.

304

305 **Conclusions**

306 In patients with chronic HP presenting with a histologic UIP pattern, the extent  
307 of FF in the lung is significantly related to the extent of reticulation, honeycombing, and  
308 traction bronchiectasis on HRCT. Furthermore, the extent of FF may be a marker of poor  
309 prognosis.

310

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332

### 333 **Abbreviations**

334	BAL	Bronchoalveolar lavage
335	DLco	Diffusing capacity for carbon monoxide
336	FEV <sub>1</sub>	Forced expiratory volume in one second
337	FF	Fibroblastic foci
338	FVC	Forced vital capacity
339	HP	Hypersensitivity pneumonitis
340	HRCT	High resolution computed tomography
341	IPF	Idiopathic pulmonary fibrosis
342	PaO <sub>2</sub>	Partial pressure of oxygen in arterial blood
343	PFTs	Pulmonary function tests

344	PLF	Perilobular fibrosis
345	UIP	Usual interstitial pneumonia
346	VC	Vital capacity
347	$\dot{V}_{25}$	Maximum expiratory flow at 25 %
348	$\dot{V}_{50}$	Maximum expiratory flow at 50 %

349

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416

417 **Tables and figure legends**

418

419 Table 1. Patients characteristics.

420

Case No.	Sex	Age at diagnosis (years)	Smoking, pack-years	Biopsy site	Treatment	Acute exacerbation	Follow-up (months)
1	M	55	Ex, 10	L	PSL, CsA	+	Died (59)
2	M	34	Ex, 19	U, L	PSL, TAC, PFD	+	Alive (98)
3	M	55	Never	U, L	None	-	Alive (1)
4	M	56	C, 36	U, L	None	-	Alive (3)
5	M	42	Ex, 5	U, L	PSL	-	Alive (74)
6	M	67	Ex, 52	U, L	PSL	+	Died (9)
7	M	62	Ex, 47	U, L	PSL, PFD	-	Alive (89)
8	M	75	Ex, 63	U, L	PSL, CsA	+	Died (16)
9	F	65	Never	U, L	PSL, CsA	-	Alive (14)
10	F	75	Never	U, L	PSL	-	Alive (67)
11	M	64	C, 16	U, M	PSL	+	Died (82)
12	M	65	Never	U, L	PSL	+	Died (28)
13	M	59	Ex, 27	U, L	PSL, CsA	+	Died (27)
14	M	53	Ex, 51	U, L	PSL, CsA	+	Died (22)
15	M	67	Ex, 180	U, M	PSL	-	Alive (98)
16	M	38	C, 25	U, L	PSL, CsA	+	Died (27)
16 cases	M 88 %	mean 58.3	C or Ex 75 %, 44.3 pack-years	U, L 81 %	PSL 88 %	56 %	Died 50 %

421

422

423 Acute exacerbation indicates the occurrence of exacerbation during the observation  
 424 period. Percentage or mean values are shown at the bottom. Ex; ex-smoker, C; current  
 425 smoker, U; upper lobe, M; middle lobe, L; lower lobe, PSL; prednisolone, CsA;  
 426 cyclosporine A, TAC; tacrolimus, PFD; Pirfenidone.

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438 Table 2. Clinical findings of the patients.

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PaO <sub>2</sub> , Torr	81.1 ± 11.1
KL-6, U/mL	1833 ± 1495
SP-D, ng/mL	286.1 ± 141.4
BAL fluid	
total cell counts, 10 <sup>5</sup> /mL	3.5 ± 1.6
macrophages, %	76.5 ± 16.7
lymphocytes, %	16.2 ± 14.4
neutrophils, %	5.6 ± 8.2
eosinophils, %	1.6 ± 3.5
CD4/CD8 ratio	4.9 ± 5.9
Positive of antibodies to avian antigen	9
Positive of LST	11
%VC	77.0 ± 18.3
%FVC	66.4 ± 18.8
ΔFVC, mL/year	-151.5 ± 362.2
%FEV <sub>1</sub>	73.8 ± 17.3
FEV <sub>1</sub> /FVC, %	82.9 ± 8.9
% $\dot{V}_{50}$	87.6 ± 26.4
% $\dot{V}_{25}$	66.6 ± 40.5
$\dot{V}_{50}/\dot{V}_{25}$	4.0 ± 1.7
%DLco	60.4 ± 20.4

440

441 Values are given as the mean ± SD unless otherwise indicated. KL-6; Krebs von den  
 442 lungen-6, SP-D; surfactant protein D, PaO<sub>2</sub>; partial pressure of oxygen in arterial blood,  
 443 BAL; bronchoalveolar lavage, LST; Lymphocyte stimulation test. VC; vital capacity,  
 444 FVC; forced vital capacity, ΔFVC; the amount of annual change in FVC, FEV<sub>1</sub>; forced

445 expiratory volume in 1 second,  $\dot{V}_{50}$ ; maximum expiratory flow at 50 %,  $\dot{V}_{25}$ ; maximum

446 expiratory flow at 25 %, DLco; diffusing capacity for carbon monoxide. The n of KL-6

447 and SP-D was 15. The n of CD4/CD8 ratio was 13. The n of ΔFVC was 14.

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451 Table 3. Comparison of clinical findings between low and high fibroblastic foci group.  
 452

Clinical findings	Low FF group	High FF group	<i>P</i>	Difference in location	
	(< 2.2/cm <sup>2</sup> )	(≥ 2.2/cm <sup>2</sup> )		(95% CI)	
	n = 8	n = 8			
PaO <sub>2</sub> , Torr	80.4 ± 11.8	81.8 ± 11.1	0.83	1.6	(-12.6 to 14.7)
KL-6, U/mL	1436 ± 1324	2287 ± 1648	0.23	640	(-390 to 2450)
SP-D, ng/mL	222.4 ± 125.1	358.9 ± 129.6	0.07	119	(-31 to 297)
Lymphocytes in BAL fluid, %	18.9 ± 18.4	13.6 ± 9.5	0.88	-1.4	(-22.3 to 9.8)
Neutrophils in BAL fluid, %	3.4 ± 6.9	7.8 ± 9.3	0.34	0.7	(-0.8 to 18.1)
%FVC	68.2 ± 16.7	64.5 ± 21.7	0.72	-3.6	(-24.3 to 17.4)
%DLco	71.8 ± 20.8	49.0 ± 12.6	0.03	-18.9	(-43.3 to -3.3)
					Odds ratio (95% CI)
Acute exacerbation, n (%)	2 (25)	7 (88)	0.04	21.0	(1.5 to 293.3)

453

454 Values are given as the mean ± SD unless otherwise indicated. FF; fibroblastic foci.  
 455 KL-6; Krebs von den lungen-6. SP-D; surfactant protein D. BAL; bronchoalveolar lavage.  
 456 FVC; forced vital capacity. DLco; diffusing capacity for carbon monoxide. CI;  
 457 confidence interval. The n of KL-6 and SP-D in high FF group was 7.

458

459 Table 4. HRCT findings.

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The extent of each finding <sup>a</sup> (%)	
Reticulation	14.5 ± 9.9
Honeycombing	5.9 ± 7.6
Centrilobular nodules	9.2 ± 17.0
Ground glass opacities	14.4 ± 6.4
Consolidation	3.5 ± 3.1
Emphysema	1.7 ± 3.7
The score of each finding <sup>b</sup>	
Traction bronchiectasis	0.6 ± 0.4
Mosaic attenuation	0.1 ± 0.1
Interlobular septal thickening	0.7 ± 0.3
Distribution of findings <sup>c</sup> , n (%)	
Upper predominance	2 (12.5)
Lower predominance	8 (50.0)
No zonal predominance	6 (37.5)

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461 a

462 The extent of each finding is presented as the mean ± SD of 16 cases.

463 b

464 The score of each finding is presented as the mean ± SD of scores (absent = 0 or present  
465 = 1) in 16 cases.

466 c

467 Distribution of findings is presented as the number of cases.

468

469

470

471 Table 5. Survival analysis of histopathological findings.

472

Histopathological findings	Hazard ratio	95 % Confidence interval	<i>P</i>
Univariate analysis			
Centrilobular fibrosis	1.35	0.789 to 2.299	0.27
Bridging fibrosis	2.15	0.552 to 8.381	0.27
Fibroblastic foci	1.81	1.104 to 2.975	0.02
Lymphoid follicle	1.02	0.764 to 1.370	0.88
Bronchiolitis	0.45	0.142 to 1.429	0.18
Organizing pneumonia	2.0	0.728 to 5.463	0.18
Granulomas	1.67	0.369 to 7.588	0.51
Giant cells	0.59	0.148 to 2.359	0.46
Perilobular fibrosis	0.90	0.343 to 2.347	0.83
Honeycombing	1.72	0.540 to 5.467	0.36
Fibroelastosis	0.62	0.164 to 2.337	0.48
Lymphoid alveolitis	0.66	0.227 to 1.929	0.45
Multivariate analysis <sup>a</sup>			
Fibroblastic Foci	2.36	1.018 to 5.479	0.04

473 a

474 Covariates with  $P < 0.2$  in univariate analysis and clinical relevant covariates such as age,  
 475 sex, smoking status, and %DLco were included in multivariate analysis (Cox regression).

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494 Figure 1. Typical lesions in the histopathological examinations of the surgical lung  
495 biopsy specimens. A. (Case 2), centrilobular fibrosis (\*) (elastica van Gieson,  $\times 4$ ) B.  
496 (Case 5), bridging fibrosis (arrows) connects respiratory bronchioles (RB) to each other  
497 or connects respiratory bronchioles with the perilobular area. Centrilobular fibrosis is  
498 frequently close to the interlobular septum (ILS). TB; terminal bronchioles. A;  
499 pulmonary arteries. (haematoxylin and eosin,  $\times 1.5$ ). C. (Case 8), fibroblastic foci (\*\*)  
500 (haematoxylin and eosin,  $\times 10$ ). D. (Case 1), bronchiolitis (haematoxylin and eosin,  $\times 10$ ).  
501 Inflammatory cells infiltrate around the terminal bronchioles.

502

503 Figure 2. Typical HRCT images. A. (Case 12), reticulation. B. (Case 1), honeycombing.  
504 C. (Case 2), centrilobular nodules. D. (Case 14), ground glass opacity and mosaic  
505 attenuation. E. (Case 8), consolidation (arrow). F. (Case 15), emphysema. G. (Case 6),  
506 traction bronchiectasis. H. (Case 7), interlobular septal thickening (arrows).

507

508 Figure 3. Correlations between histopathological and clinical findings. CI; confidence  
509 interval. A. centrilobular fibrosis and PaO<sub>2</sub>. B. bridging fibrosis and  $\dot{V}_{50}/\dot{V}_{25}$ .

510

511 Figure 4. The relationship between histopathological and HRCT findings. A. fibroblastic  
512 foci (FF) and reticulation. Difference in location, 13.1; 95% confidence interval (CI), 3.8  
513 to 22.1. B. FF and honeycombing. Difference in location, 7.1; 95% CI, 2.9 to 17.9. C. FF  
514 and traction bronchiectasis. Difference in location, 0.3; 95% CI, 0.2 to 0.7.

515

516 Figure 5. Kaplan-Meier curves for acute exacerbation-free interval (A) and survival (B)  
517 in patients with more than 2.2 fibroblastic foci (FF) per square centimeter (high FF  
518 group) and patients with fewer than 2.2 FF per square centimeter (low FF group).

Figure 1

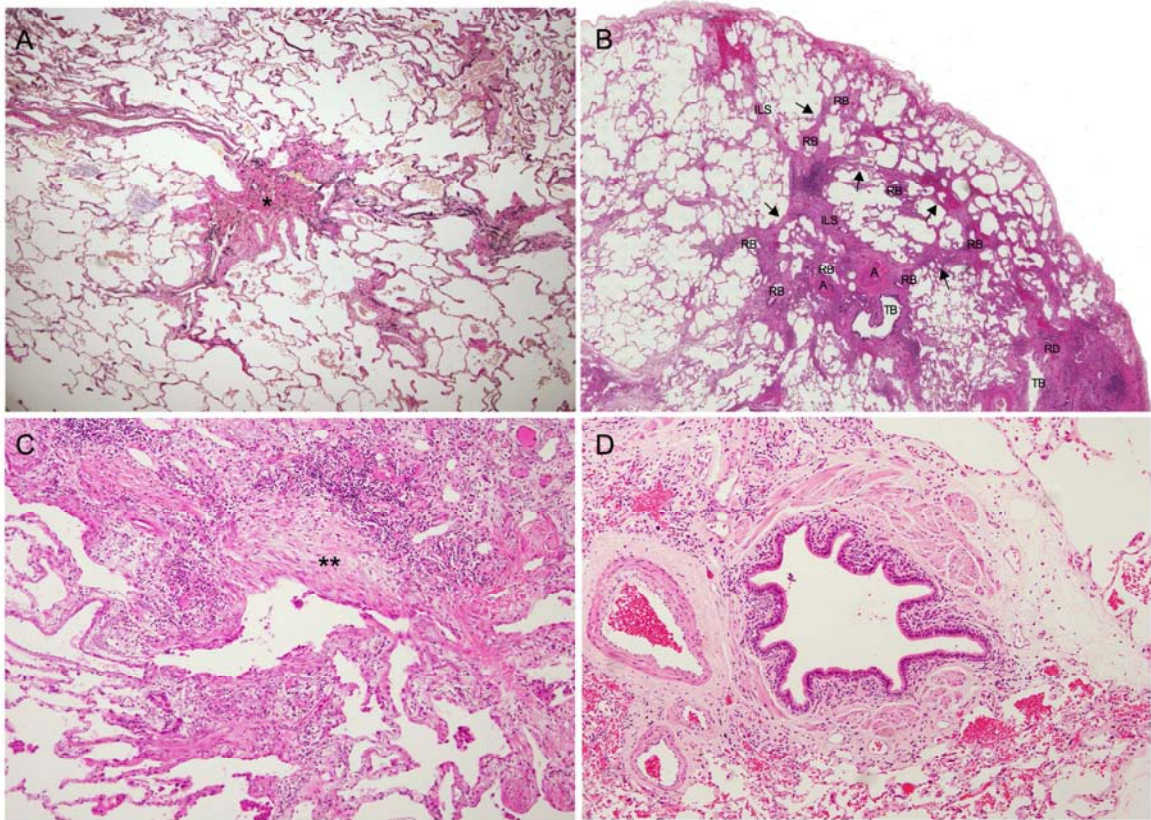




Figure 2

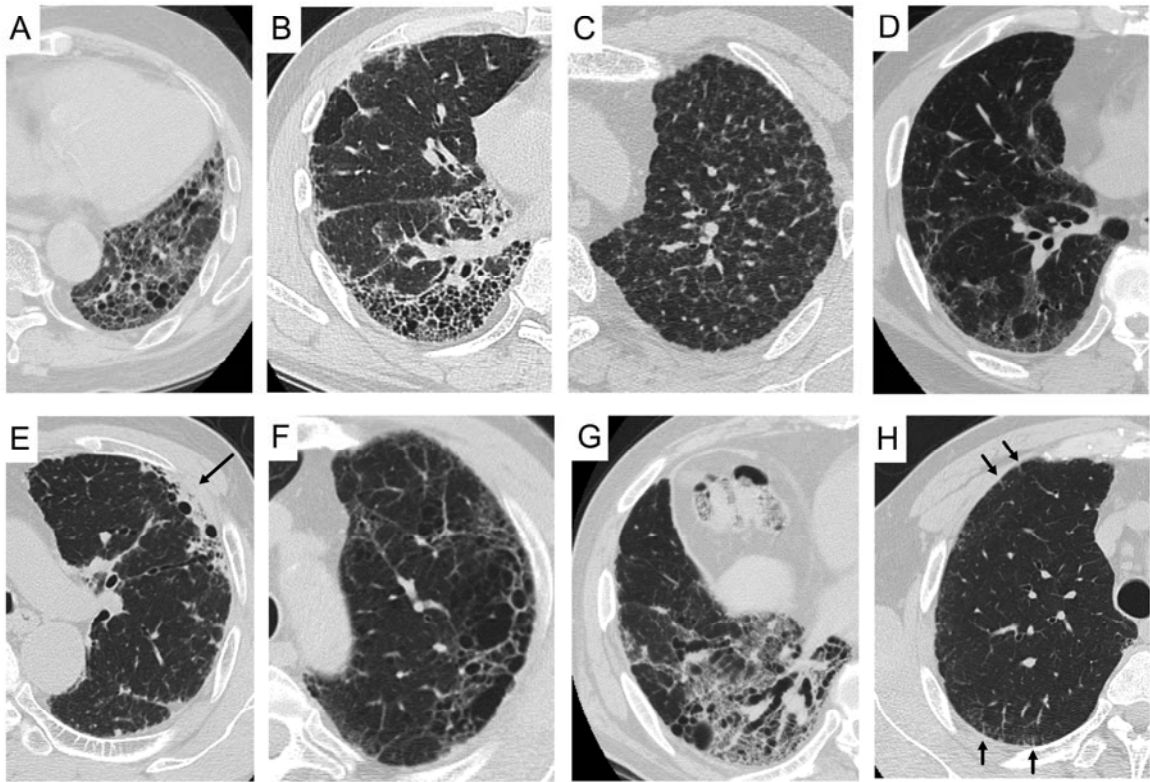


Figure 3

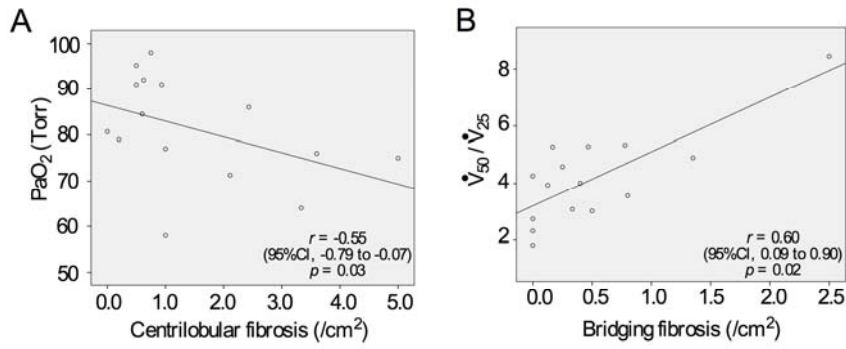
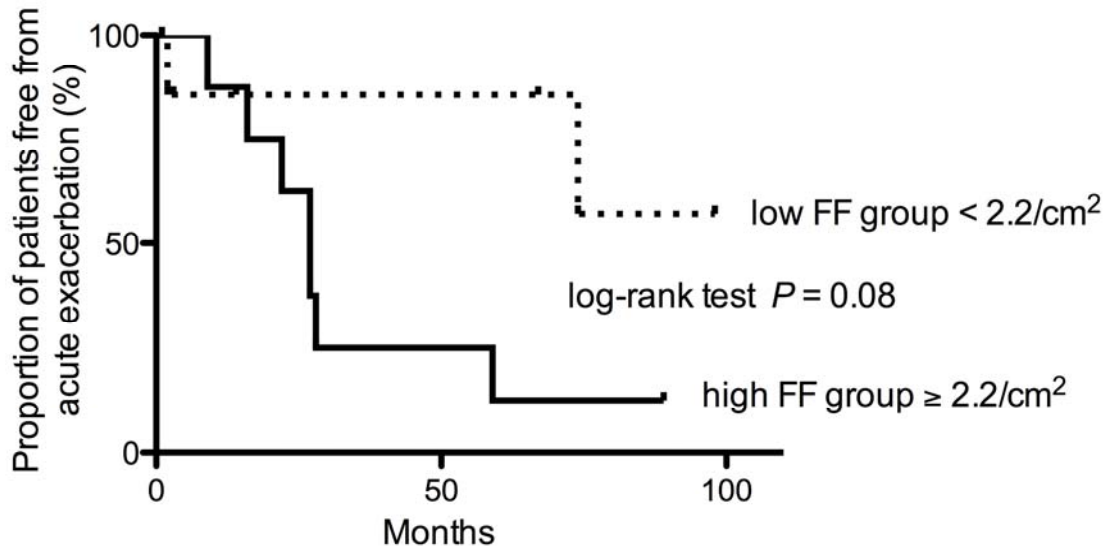






Figure 5

A



B

